

Independent Environmental, Social, Governance Oversight

INTRODUCTION

Environmental, Social, Governance (“ESG”) issues are increasingly key considerations for the asset management sector. As climate change, social/corporate accountability and responsible governance assume increasing importance within the economic and political debate, there is increasing demand from investors, as well as regulators, for asset managers, pension fund trustees and large corporates to develop their own ESG initiatives. This demand is, in turn, helping to channel more investment capital into sustainable investments. The impetus to tackle ESG issues comes from the United Nations as well as its member states. New laws with ESG obligations for asset managers, asset owners, pension fund trustees and large corporates are creating challenges, as well as opportunities, for the financial services sector.

INDEPENDENT ESG OVERSIGHT AND ASSURANCE

Whilst there is an increased importance now placed on ESG accountability and transparency, it is not obvious how an asset manager can differentiate themselves from another as best in class, when championing their ESG initiatives to investors and other stakeholders.

INDOS Financial offers an independent ESG service to help asset managers, pension fund trustees, investors and wider industry stakeholders obtain assurance and thereby build confidence by way of:

- Staff ESG training
- Design and implementation of ESG policies and procedures
- Independent ESG analysis and reporting of investment portfolios
- Independent verification of investment portfolios, reporting to key stakeholders



ADVANTAGES

INDEPENDENCE- An independent assurance service to demonstrate ESG transparency and accountability to key stakeholders;

OVERSIGHT - An ESG monitoring and reporting function to allow asset managers to demonstrate that their ESG policies are being properly adhered to;

DEDICATED - A dedicated ESG team to assist asset managers with the integration of ESG into their investment policies and subsequent reporting to investors.

WHO WILL OUR SERVICES APPEAL TO?

- Asset managers looking to develop efficient and robust ESG policies
- Asset managers providing ESG training and development to their staff
- Asset managers looking to demonstrate to investors that their ESG policies are being accurately followed (i.e. no "greenwashing")
- Asset managers looking to demonstrate commitment to an ESG strategy in order to differentiate themselves from their competition
- Investors (including pension fund trustees) seeking reassurance regarding the ESG credentials of their fund investments

ABOUT INDOS FINANCIAL

INDOS Financial is a leading provider of independent oversight and assurance services to the funds industry. We provide a range of fund oversight services to over 80 clients covering the hedge fund, private equity, real estate and investment trust sectors.

INDOS Financial is authorised and regulated by the Financial Conduct Authority and is a Service Provider signatory of the UNPRI (www.unpri.org).

YOUR INDOS FINANCIAL CONTACTS:

Seymour Banks

Head of ESG Services

INDOS Financial Limited

(t) +44 (0) 203 876 2348

(e) sbanks@indosgroup.com

Bill Prew

CEO

INDOS Financial Limited

(t) +44 (0) 203 876 2220

(e) billprew@indosgroup.com

Signatory of:

