

Independent Specialist Depository for Alternative Investment Funds

INTRODUCTION

INDOS is the leading, independent depository service provider, offering full scope and depository-lite services to a broad range of alternative investment funds (AIFs), managed by both EU and non-EU alternative investment fund managers (AIFMs).

We provide services to over 78 clients, representing 128 funds with \$28bn+ of underlying assets, and hold a range of FCA regulatory permissions, enabling us to service:

- Hedge Funds
- Debt Funds
- Private Equity Funds
- Infrastructure Funds
- Real Estate Funds
- UK Investment Trusts

SERVICES

Our AIFMD depository services include one or more of the following AIFMD requirements:

- Article 21(7) Cash flow monitoring.
- Article 21(8)(a) Safe keeping of assets (custody assets).
- Article 21(8)(b) Record keeping and verification of non-custody assets.
- Article 21(9) Oversight (including oversight of valuation of the AIF and the AIF's compliance with investment restrictions and leverage limits).

INDOS was the first Alternative Investment Fund Managers Directive (AIFMD) depository to be authorised by the UK Financial Conduct Authority in January 2014, and the first non-bank depository to be authorised as a full depository for UK unauthorised funds in May 2017.

WHY INDOS FINANCIAL?

- We have an experienced team located in the UK (London and Fareham) and Ireland (Enniscorthy) with a deep background in alternative funds, fund administration, depositary and professional service businesses.
- We offer a flexible, proactive and transparent level of client service through which we add value and build long term, successful client relationships.
- We work independently alongside existing fund administrators, prime brokers and custodians with minimal operational impact on our clients.
- We provide regular reporting to the manager and fund directors.
- We leverage our internally developed DEPOcheck system to deliver a first class, scalable and efficient service.
- We provide an annual type 2 SOC1 / ISAE 3402 controls assurance report to our clients.
- We are recognised thought leaders on AIFMD and fund governance and will be pleased to share our knowledge and experience with you.

WHAT OUR CLIENTS SAY

"INDOS genuinely add an additional layer of control."

"We engaged INDOS in 2016. We have found the INDOS team to be professional, working seamlessly with our administrator and demonstrating how an independent depositary can add value to the fund's governance structure."

"I was uncomfortable about having the administrator as admin and depo-lite provider. It felt like they were "marking their own homework" - by that I mean they rarely queried the manager and any probing felt light."

"This is a win-win for our investors."

YOUR INDOS FINANCIAL CONTACTS

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